

Docket No. 206333US0X PCT

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Masahiro KITAYAMA, et al.

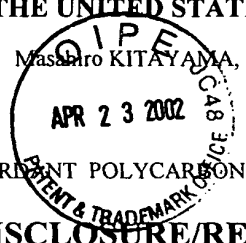
SERIAL NO: 09/857,865

GAU: 1714

FILED: June 12, 2001

EXAMINER:

FOR: FLAME-RETARDANT POLYCARBONATE RESIN COMPOSITION AND ITS MOLDINGS



**INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

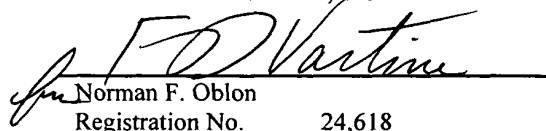
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Norman F. Oblon  
Registration No. 24,618



**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/98)

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APR 25 2002  
**TC 1700**

**Frederick D. Vastine**  
**Registration No. 27,013**

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

206333US0X PCT

SERIAL NO.

09/857,865

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Masahiro KITAYAMA, et al.

FILING DATE

06/12/01

GROUP

1714

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,837,757	11/17/98	NODERA, et al.			
	AB	6,127,465	10/03/00	NODERA			
	AC	6,348,527	02/19/02	NODERA			
	AD	6,150,443	11/21/00	NODERA, et al.			
	AE	6,331,584	12/18/01	NODERA, et al.			
	AF	6,369,142	04/09/02	NODERA, et al.			
	AG	6,197,857	03/06/01	NODERA, et al.			
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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### LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
2421-0228-0	5,837,757	11/17/98	NODERA, et al.
2421-0239-0	6,127,465	10/03/00	NODERA
2421-0363-0X	6,348,527	02/19/02	NODERA
2421-0365-0	09/365,406	08/02/99	PENDING
2421-367-0X	6,150,443	11/21/00	NODERA, et al.
2421-0373-0X	6,331,584	12/18/01	NODERA, et al.
2421-0376-0X	6,369,142	04/09/02	NODERA, et al.
2421-0382-0X	09/410,030	10/01/99	PENDING
2421-0391-0X	6,197,857	03/06/01	NODERA, et al.
2421-0404-0X	09/456,296	12/08/99	ALLOWED
205842US0X PCT	09/831,917	05/25/01	PENDING
208554US0X PCT	09/868,919	10/16/01	PENDING
206333US0X PCT*	09/857,865	06/12/01	PENDING

\*Present application; listed for information.

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